

An extremal problem in the cyclic permutation

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1 Introduction

Let n, k be positive integers and $[n] = \{1, 2, \dots, n\}$ denote the n -element set. Let $2^{[n]}$ be the power set of $[n]$ and a subset of $2^{[n]}$ is called a *family* of $[n]$. We denote the family of all k -elements subset of $[n]$ by $\binom{[n]}{k}$. A family \mathcal{F} is called *inclusion-free* if for any $F_1, F_2 \in \mathcal{F}$, $F_1 \subsetneq F_2$. As the first theorem in extremal finite set theory, Sperner determined the upper bound of $|\mathcal{F}|$ for inclusion-free families \mathcal{F} .

Theorem 1.1 (Sperner theorem). [4] $\max|\mathcal{F}| = \binom{n}{\lfloor \frac{n}{2} \rfloor}$ where the max is taken over all inclusion-free families.

For a poset P , we say that a subposet Q' of Q is a (weak) copy of P , if there exists a bijection $f : P \rightarrow Q'$, such that for any $p, p' \in P$, the relation $p \prec_P p'$ implies $f(p) \prec_{Q'} f(p')$. If a poset Q does not contain a weak copy of P , then it is P -free. Specially, a inclusion-free family is a P_2 -free poset, where P_2 is the total order on 2 elements.

A family \mathcal{F} is called *intersecting* if for any $F_1, F_2 \in \mathcal{F}$ the intersection $F_1 \cap F_2 \neq \emptyset$. In 1961, Erdős, Ko and Rado gave the upper bound of $|\mathcal{F}|$ for any intersecting family \mathcal{F} .

Theorem 1.2. [1] $\max|\mathcal{F}| = 2^{n-1}$, where the max is taken over all intersecting families \mathcal{F} .

Theorem 1.3. [1] Let \mathcal{F} be an intersecting family. There is another intersecting family \mathcal{G} such that $\mathcal{F} \subset \mathcal{G}$ and $|\mathcal{G}| = 2^{n-1}$.

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Theorem 1.4 (Erdős-Ko-Rado Theorem). [1] Let k ($1 \leq k \leq \frac{n}{2}$) be a fixed integer. Then $\max |\mathcal{F}| = \binom{n-1}{k-1}$ over all intersecting families $\mathcal{F} \subset \binom{[n]}{k}$

A cyclic permutation π of the elements of $[n]$ is an ordering of the elements along a cycle. A subset A of $[n]$ is called an *interval* (along π) if its elements are consecutive along π . The following statements are well-known.

Theorem 1.5. [2] An inclusion-free family \mathcal{A} of intervals along π has at most n elements. If \mathcal{A} has n elements, then all of its elements have same size.

Theorem 1.6. [2] Assume k is a positive integer with $k \leq \frac{n}{2}$. If \mathcal{A} is an intersecting family of k -element intervals along π , then $|\mathcal{A}| \leq k$.

In this report, we determine the largest size of a intersecting V -free family of intervals along a fixed permutation π , where the poset $V = \{x, y, z\}$ such that $x \prec y$ and $x \prec z$. It is clear that $|\mathcal{A}| \leq n$ if $n \in \{1, 2\}$ and $|\mathcal{A}| \leq n + 1$ if $n \in \{3, 4\}$, where the upper bound is tight. Thus, we only consider the case $n \geq 5$. Besides, we can assume that π is actually the identity permutation without loss of generality. For general permutation π , the proof is similar.

Theorem 1.7. For an intersecting V -free family \mathcal{A} of intervals along a fixed permutation π , if $n \geq 5$, then $|\mathcal{A}| \leq \lfloor \frac{3}{2}n \rfloor$. In particular, if $|\mathcal{A}| = \lfloor \frac{3}{2}n \rfloor$, then $|A| > \frac{n}{2}$ for any $A \in \mathcal{A}$.

Further, let \mathcal{A} be the union of a set of all intervals with size $\lfloor \frac{n}{2} \rfloor + 1$ and a set of all intervals with size $\lfloor \frac{n}{2} \rfloor + 2$ and starting point $2i$ ($1 \leq i \leq \lfloor \frac{n}{2} \rfloor$). Then we have $|\mathcal{A}| = \lfloor \frac{3}{2}n \rfloor$. Thus, the upper bound of Theorem 1.7 is tight.

2 Proof of Theorem 1.7

Without loss of generality, let π be cyclic permutation $(12 \dots n)$. We construct π by drawing a circle with n points, and then label each point from 1 to n in clockwise direction. Then for each proper interval A on π we can denote it by ordering its elements. For $A = i(i+1) \dots j \pmod{n}$, let i is the starting point of A and j is the end point of A .

For any V -free intersecting family \mathcal{A} of intervals, let $D(\mathcal{A}, \mathcal{E})$ be a directed graph such that \mathcal{A} is the vertex set of D , we have $AB \in \mathcal{E}$ if and only if $A \subset B$ for any two distinct elements A, B of \mathcal{A} . In the directed graph, we call a vertex A is a source if and only if $\{B \in \mathcal{A} : BA \in \mathcal{E}\} = \emptyset$. We say A is a sink if and only if $\{B \in \mathcal{A} : AB \in \mathcal{E}\} = \emptyset$. Since \mathcal{A} is V -free, each non-isolated element in \mathcal{A} is either a source or a sink. Let $\mathcal{M}_{\mathcal{A}}$ denote

the set of all the sources and isolated elements in \mathcal{A} , then obviously $\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}$ is the set of sinks in \mathcal{A} . We can find that D is a directed bipartite graph $D(\mathcal{M}_{\mathcal{A}}, \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}})$ such that each dipath in D is from $\mathcal{M}_{\mathcal{A}}$ to $\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}$. For each element A in \mathcal{A} , let

$$\deg^{-}(A) = |\{B \in \mathcal{A} : BA \in \mathcal{E}\}|, \quad \deg^{+}(A) = |\{B \in \mathcal{A} : AB \in \mathcal{E}\}|.$$

Since \mathcal{A} is V -free, we have $\deg^{+}(A) \leq 1$ and $\deg^{-}(A) = 0$ for any $A \in \mathcal{M}_{\mathcal{A}}$, $\deg^{+}(A) = 0$ and $\deg^{-}(A) \geq 1$ for any $A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}$. With the property of bipartite graph, we have

$$\sum_{A \in \mathcal{M}_{\mathcal{A}}} \deg^{+}(A) = \sum_{B \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} \deg^{-}(B). \quad (2.1)$$

We only need to consider the case $|\mathcal{A}| \geq n + 1$. It is easy to find such \mathcal{A} with $|\mathcal{A}| = n + 1$. For example, $\mathcal{A} = \{A : A \text{ is an interval on } \pi, |A| \geq n - 1\}$.

Lemma 2.1. [2] *Assume k is a positive integer with $k \leq \frac{n}{2}$. If $|M| \leq k$ for all $M \in \mathcal{M}_{\mathcal{A}}$, then $|\mathcal{M}_{\mathcal{A}}| \leq k$ and $|\mathcal{A}| \leq 2k$.*

Proof. Denote $|\mathcal{M}_{\mathcal{A}}| = m$ and $\mathcal{M}_{\mathcal{A}} = \{M_1, M_2, \dots, M_m\}$. Let a_i be the starting point of M_i for $1 \leq i \leq m$, respectively. Since $\mathcal{M}_{\mathcal{A}}$ is inclusion-free, $a_i \neq a_j$ for any i, j . Let M'_i be the interval with starting point a_i and size k . Assume $\mathcal{M}_{\mathcal{A}'} = \{M'_1, M'_2, \dots, M'_m\}$. It is easy to see $|\mathcal{M}_{\mathcal{A}'}$ is intersecting, because $\mathcal{M}_{\mathcal{A}}$ is intersecting. Combining with Theorem 1.6, we have $m = |\mathcal{M}_{\mathcal{A}}| \leq k$. By (2.1), we have

$$k \geq |\mathcal{M}_{\mathcal{A}}| \geq \sum_{A \in \mathcal{M}_{\mathcal{A}}} \deg^{+}(A) = \sum_{B \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} \deg^{-}(B) \geq |\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}|.$$

So $|\mathcal{A}| = |\mathcal{M}_{\mathcal{A}}| + |\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}| \leq 2k$. □

Corollary 2.2. *If $|\mathcal{A}| \geq n + 1$, then there exists $A \in \mathcal{A}$ such that $|A| > \frac{n}{2}$.*

Since each pair of two distinct elements of $\mathcal{M}_{\mathcal{A}}$ must have different starting points, we have $|\mathcal{M}_{\mathcal{A}}| \leq n$. In the following we consider two cases, $|\mathcal{M}_{\mathcal{A}}| = n$ and $|\mathcal{M}_{\mathcal{A}}| \leq n - 1$, to prove $|\mathcal{A}| \leq \lfloor \frac{3}{2}n \rfloor$ provided $|\mathcal{A}| \geq n + 1$.

Lemma 2.3. *If $|\mathcal{A}| \geq n + 1$ and $|\mathcal{M}_{\mathcal{A}}| = n$, then $|\mathcal{A}| \leq \lfloor \frac{3}{2}n \rfloor$.*

Proof. Let $\mathcal{M}_{\mathcal{A}} = \{M_1, M_2, \dots, M_n\}$ and M_i has starting point i . Since $\mathcal{M}_{\mathcal{A}}$ is a independent set, we have $|M_i| \leq |M_{i+1}|$ for $i = 1, 2, \dots, n$ such that we define $M_{n+i} = M_i$. Then we have $|M_1| = |M_2| = \dots = |M_n| = k$. Combining with Corollary 2.2, we have $k > \frac{n}{2}$. When $k = n$, $\mathcal{A} = \{[n]\}$ which is contradicts with $|\mathcal{A}| \geq n + 1$. When $k = n - 1$, \mathcal{A} is

either $\mathcal{M}_A \cup \{[n]\}$ or \mathcal{M}_A , and then $|\mathcal{A}| \leq n + 1 \leq \lfloor \frac{3}{2}n \rfloor$, where the last inequality holds because $n \geq 5$.

Recall that for each $A \in \mathcal{A} \setminus \mathcal{M}_A$, $\deg^-(A) \geq 1$. We assume $M_j \in A$. We know that $|A| > |M_j|$, so at least one of $\{M_{j-1}, M_{j+1}\}$ of a subset by A . Thus we have $\deg^-(A) \geq 2$ for each $A \in \mathcal{A} \setminus \mathcal{M}_A$. By (2.1), we have

$$n = |\mathcal{M}_A| \geq \sum_{A \in \mathcal{M}_A} \deg^+(A) = \sum_{B \in \mathcal{A} \setminus \mathcal{M}_A} \deg^-(B) \geq 2|\mathcal{A} \setminus \mathcal{M}_A|$$

This implies that $|\mathcal{A} \setminus \mathcal{M}_A| \leq \frac{1}{2}|\mathcal{M}_A| = \frac{n}{2}$. Thus, $|\mathcal{A}| = |\mathcal{M}_A| + |\mathcal{A} \setminus \mathcal{M}_A| \leq \lfloor \frac{3}{2}n \rfloor$, as desired. \square

Lemma 2.4. *If $|\mathcal{A}| \geq n + 1$ and $|\mathcal{M}_A| \leq n - 1$, then $|\mathcal{A}| \leq \lfloor \frac{3}{2}n \rfloor$ with equality if and only if $|\mathcal{M}_A| = n - 1$ and n is odd.*

Proof. If $\deg^-(A) \geq 2$ for any $A \in \mathcal{A} \setminus \mathcal{M}_A$, By (2.1), the result is trivial. The only problem is some elements of $\mathcal{A} \setminus \mathcal{M}_A$ has $\deg^- = 1$. Let $T = \{A \in \mathcal{A} \setminus \mathcal{M}_A : \deg^-(A) = 1\}$ and $t = |T|$. Obviously, for a fixed \mathcal{M}_A , the larger the t , the larger the $|\mathcal{A}|$. We assume t is largest.

Denote $|\mathcal{M}_A| = m$ and $\mathcal{M}_A = \{M_1, M_2, \dots, M_m\}$. Let a_i be the starting point of M_i for $1 \leq i \leq m$, respectively.

By (2.1), we have

$$m = |\mathcal{M}_A| \geq \sum_{A \in \mathcal{M}_A} \deg^+(A) = \sum_{B \in \mathcal{A} \setminus \mathcal{M}_A} \deg^-(B) \geq t + 2(|\mathcal{A} \setminus \mathcal{M}_A| - t)$$

Therefore,

$$|\mathcal{A}| = |\mathcal{M}_A| + |\mathcal{A} \setminus \mathcal{M}_A| \leq m + \frac{m + t}{2} \quad (2.2)$$

Let $C = \{a_1, a_2, \dots, a_m\}$. Suppose that C is the union of d intervals separated by elements not in C . Without loss of generality we can assume that $a_1 = 1$ and n is not in C . The length of j -th interval is denoted by m_j . Then the first interval is $\{a_1, a_1 + 1, \dots, a_1 + m_1 - 1\} = \{1, 2, \dots, m_1\}$. The element $a_1 + m_1$ does not belong to C . The second interval is $\{a_2, a_2 + 1, \dots, a_2 + m_2 - 1\}$ and so on. Introduce the following notation for the elements of j -th intervals: $a_{(j,1)} a_{(j,2)} \dots a_{(j,m_j)}$ $j = 1, 2, \dots, d$. Recall that $a_{(1,1)} = 1$ and $a_{(d,m_d)} < n$. It is easy to see, $m = \sum_{j=1}^d m_j \leq n - d$. Let $M_{(j,i)}$ denote the unique element in \mathcal{M}_A with starting point $a_{(j,i)}$. $\mathcal{M}_{Ap} = \{M_{(j,i)} \in \mathcal{M}_A : j = p\}$. $T_j = \{A \in T : \exists B \in \mathcal{M}_{Aj}, BA \in \mathcal{E}\}$ and $t_j = |T_j|$. Obviously $t = \sum_{j=1}^d t_j$. Since \mathcal{M}_A is independent, we have

$$|M_{(j,1)}| \leq |M_{(j,2)}| \leq \dots \leq |M_{(j,m_j)}|, \text{ for any } j = 1, 2, \dots, d \quad (2.3)$$

$$a_{(j,m_j)} + |M_{(j,m_j)}| \leq a_{(j+1,1)} - 1 + |M_{(j+1,1)}|, j < d \quad (2.4)$$

$$a_{(d,m_d)} + |M_{(d,m_d)}| \leq n + |M_{(1,1)}|, j = d \quad (2.5)$$

For any $A \in T$, assume $M_{(j,i)} \in A$. We know $|A| > |M_{(j,i)}|$ and neither $M_{(j,i-1)}$ or $M_{(j,i+1)}$ is a subset of A . From this and (2.3)(2.4)(2.5), we have:

- if $i = 1$, it always good, i.e $A = M_{(j,i)} \cup \{a_{(j,i)} - 1\}(a \bmod n)$;
- if $2 \leq i \leq m_j - 1$, then $|M_{(j,i+1)}| \geq |M_{(j,i)}| + 1$;
- if $i = m_j, j < d$, then $a_{(j+1,1)} - 1 + |M_{(j+1,1)}| \geq a_{(j,m_j)} + |M_{(j,m_j)}| + 1$;
- if $i = m_j, j = d$, then $n + |M_{(1,1)}| \geq a_{(d,m_d)} + |M_{(d,m_d)}| + 1$

Since t is the largest and $M_{(j,1)}$ is always good for any $j = 1, 2, \dots, d$, there always exist $A \in T$ such that $M_{(j,1)} \in A$. and by (2.3)(2.4)(2.5),we have if $j < d$

$$(a_{(j,1)} + m_j - 1) + (t_j - 1 + |M_{(j,1)}|) \leq a_{(j+1,1)} - 1 + |M_{(j+1,1)}|$$

and if $j = d$

$$(a_{(d,1)} + m_d - 1) + (t_d - 1 + |M_{(d,1)}|) \leq n + |M_{(1,1)}|$$

Sum them up, we have

$$\sum_{j=1}^d a_{(j,1)} + \sum_{j=1}^d m_j + \sum_{j=1}^d t_j - d + \sum_{j=1}^d |M_{(j,1)}| \leq n + \sum_{j=1}^d a_{(j,1)} + \sum_{j=1}^d |M_{(j,1)}|$$

Therefore,

$$t \leq n - m + d. \quad (2.6)$$

Computing (2.2), we have $|\mathcal{A}| \leq m + \frac{n+d}{2} \leq \frac{3}{2}n - \frac{d}{2} \leq \lfloor \frac{3}{2}n \rfloor$, where $|\mathcal{A}| = \lfloor \frac{3}{2}n \rfloor$ holds if and only if $d = 1$ and n is odd, as desired. \square

Lemma 2.5. *If $|\mathcal{A}| = \lfloor \frac{3}{2}n \rfloor$, then $|A| > \frac{n}{2}$ for all $A \in \mathcal{A}$.*

Proof. From Lemmas 2.3 and 2.4, we know $|\mathcal{A}| = \lfloor \frac{3}{2}n \rfloor$ if and only if $|\mathcal{M}_{\mathcal{A}}| = n - 1$ and n is odd or $|\mathcal{M}_{\mathcal{A}}| = n$, in particularly, when $|\mathcal{M}_{\mathcal{A}}| = n$ the result is trivial.

When $|\mathcal{M}_{\mathcal{A}}| = n - 1$, n is odd, Let $\mathcal{M}_{\mathcal{A}} = \{M_1, M_2, \dots, M_{n-1}\}$ and M_i has starting point i . Since $\mathcal{M}_{\mathcal{A}}$ is independent, $|M_i| \leq |M_{i+1}|$ for any $1 \leq i \leq n - 2$ and $|M_1| \geq |M_{n-1}| - 1$. Suppose that $|M_1| = k < \frac{n}{2}$. Since $\mathcal{M}_{\mathcal{A}}$ is an intersecting family, we have $k + |M_{k+1}| \geq n + 1$. Combining with $|M_{n-1}| \leq |M_1| + 1 = k + 1$, we have $n + 1 - k \leq k + 1$ which implies $n \leq 2k < n$, which is impossible. \square

3 Extension problem

Theorem 3.1. *For $n \geq 5$, Assume \mathcal{A} is a V -free intersecting family of intervals on π . Then $\sum_{A \in \mathcal{A}} |A| \leq n(n-2) + \frac{n}{2}(n-1)$.*

Proof. For any V -free intersecting family \mathcal{A} of intervals. Let $m = |\mathcal{M}_{\mathcal{A}}|$, $t = |\{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}} : \deg^-(A) = 1\}|$.

If $[n] \in \mathcal{A}$, then either $\mathcal{A} = \{[n]\}$ or $\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}} = \{[n]\}$. In the previous case, we have $\sum_{A \in \mathcal{A}} |A| = n$. In the latter case we have

$$\sum_{A \in \mathcal{A}} |A| = n + \sum_{A \in \mathcal{M}_{\mathcal{A}}} |A| \leq n + n(n-1).$$

If $[n] \notin \mathcal{A}$, then $\deg^+(A) = 0$ for any $A \in \mathcal{M}_{\mathcal{A}}$ with $|A| = n-1$. First, we consider the case $|\mathcal{M}_{\mathcal{A}}| = n$. Since each element of $\mathcal{M}_{\mathcal{A}}$ has same size which is large than $\frac{n}{2}$ and $|\mathcal{A}| \leq \frac{3}{2}n$, we have $\sum_{A \in \mathcal{A}} |A| \leq n(n-2) + \frac{n}{2}(n-1)$ in this case. Now we assume $|\mathcal{M}_{\mathcal{A}}| \neq n$. Let k be the number of elements in \mathcal{A} with size $n-1$. Assume C is the set consisting of starting points of elements of \mathcal{A} and C is separated into d intervals by elements not in C , $d \geq 1$. We have

$$m - k = \sum_{A \in \mathcal{M}_{\mathcal{A}}} \deg^+(A) = \sum_{B \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} \deg^-(B) \geq t + 2(|\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}| - t).$$

Therefore, $|\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}| \leq \frac{m-k+t}{2}$.

$$\sum_{A \in \mathcal{A}} |A| = \sum_{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} |A| + \sum_{A \in \mathcal{M}_{\mathcal{A}}} |A| \leq (m-k)(n-2) + k(n-1) + \frac{m-k+t}{2}(n-1).$$

By (2.6), we have $\sum_{A \in \mathcal{A}} |A| \leq n(n-2) + \frac{n}{2}(n-1) - (k+d)\frac{n-3}{2} < n(n-2) + \frac{n}{2}(n-1)$. \square

Let π_i^j denote the interval on π with starting point i and size j . It is easy to find such \mathcal{A} with $\sum_{A \in \mathcal{A}} |A| = n(n-2) + \frac{n}{2}(n-1)$ in Theorem 3.1. For example, let

$$\mathcal{A} = \bigcup_{i=1}^n \{\pi_i^{n-2}\} \cup \bigcup_{i=1}^{\lfloor \frac{n}{2} \rfloor} \{\pi_{2i}^{n-1}\}.$$

So the upper bound of Theorem 3.1 is tight.

Theorem 3.2. *For $n = 2p+1, p \geq 2$, assume \mathcal{A} is a V -free intersecting family of intervals on π . Then $\sum_{A \in \mathcal{A}} \binom{2p+1}{|A|} \leq (2p+1)\binom{2p+1}{p+1} + p\binom{2p+1}{p+2}$*

Proof. Recall that $|\mathcal{M}_A| \leq n = 2p + 1$ and $|\mathcal{A}| \leq \frac{3n-1}{2} = 3p + 1$. If $|A| \geq p + 1$ for any $A \in \mathcal{M}_A$, then we have

$$\sum_{A \in \mathcal{A}} \binom{2p+1}{|A|} = \sum_{A \in \mathcal{M}_A} \binom{2p+1}{|A|} + \sum_{A \in \mathcal{A} \setminus \mathcal{M}_A} \binom{2p+1}{|A|} \leq (2p+1) \binom{2p+1}{p+1} + p \binom{2p+1}{p+2}.$$

Now assume that there exists an element of \mathcal{M}_A with size at most p . By Lemma 2.5, this implies $|\mathcal{M}_A| \leq 2p$ and $|\mathcal{A}| \leq 3p$. Let $m = |\mathcal{M}_A|$ and $t = |\{A \in \mathcal{A} \setminus \mathcal{M}_A : \deg^-(A) = 1\}|$. Let C is the set consisting of starting points of elements of \mathcal{A} . Suppose that C is the union of d intervals separated by elements not in C .

Let $\mathcal{A}_1 = \{A \in \mathcal{A} \setminus \mathcal{M}_A : |A| \leq p + 1\}$ and $k = |\{B \in \mathcal{M}_A : B \subsetneq A, |B| \leq p\}|$. Combining with Lemma 2.1 and \mathcal{M}_A is intersecting, we have

$$p \geq k \geq \sum_{\substack{A \in \mathcal{M}_A \\ |A| \leq p}} \deg^+(A) \geq \sum_{B \in \mathcal{A}_1} \deg^-(B) \geq t_1 + 2(|\mathcal{A}_1| - t_1),$$

where $t_1 = |\{A \in \mathcal{A}_1 : \deg^-(A) = 1\}| \leq |\mathcal{A}_1|$.

Therefore, $|\mathcal{A}_1| \leq \frac{1}{2}(k + t_1)$ implies $|\mathcal{A}_1| \leq k$. Let $\mathcal{M}_1 = \{B \in \mathcal{M}_A : B \subsetneq A, |B| \leq p + 1\}$ and $m_1 = |\{B \in \mathcal{M}_A : B \subsetneq A, |B| \leq p + 1\}|$. It is easy to see that if $\pi_1^q \in \mathcal{M}_1$ where $q \leq p$, then $\pi_{q+1}^j \notin \mathcal{A}_1$ where $j \leq p + 1$. Since \mathcal{M}_A is inclusion-free and \mathcal{M}_1 is a subset of \mathcal{M}_A . We have $m_1 \leq n - k = 2p + 1 - k$.

$$\begin{aligned} \sum_{A \in \mathcal{A}} \binom{2p+1}{|A|} &= \sum_{A \in \mathcal{A} \setminus \mathcal{M}_A} \binom{2p+1}{|A|} + \sum_{A \in \mathcal{M}_A} \binom{2p+1}{|A|} \\ &= \sum_{A \in \mathcal{A} - \mathcal{A}_1 - \mathcal{M}_1} \binom{2p+1}{|A|} + \sum_{A \in \mathcal{A}_1} \binom{2p+1}{|A|} + \sum_{A \in \mathcal{M}_1} \binom{2p+1}{|A|} \\ &\leq (|\mathcal{A}_1| + m_1) \binom{2p+1}{p+1} + (|\mathcal{A}| - |\mathcal{A}_1| - m_1) \binom{2p+1}{p+2} \\ &\leq (2p+1) \binom{2p+1}{p+1} + (p-1) \binom{2p+1}{p+2}, \end{aligned}$$

where the last equality holds because

- $|\mathcal{A}| \leq 3p$;
- $|\mathcal{A}_1| \leq k$ and the coefficient of $|\mathcal{A}_1|$ is $\binom{2p+1}{p+1} - \binom{2p+1}{p+2}$ which is larger than 0;
- $m_1 \leq 2p + 1 - k$ and the coefficient of m_1 is $\binom{2p+1}{p+1} - \binom{2p+1}{p+2}$ which is larger than 0;

□

It is easy to find such \mathcal{A} which reach the upper bound in Theorem 3.2. For example, let

$$\mathcal{A} = \bigcup_{i=1}^{2p+1} \{\pi_i^{p+1}\} \cup \bigcup_{i=1}^p \{\pi_{2i}^{p+2}\}.$$

So the upper bound of Theorem 3.2 is tight.

Theorem 3.3. *For $n = 2p$ with $p \geq 3$, assume \mathcal{A} is a V -free intersecting family of intervals on π . Then $\sum_{A \in \mathcal{A}} \binom{2p}{|A|} \leq p \binom{2p}{p} + \lfloor \frac{3}{2}p \rfloor \binom{2p}{p+1} + \lfloor \frac{1}{2}(p-1) \rfloor \binom{2p}{p+2}$.*

Proof. Let $n = 2p$ with $p \geq 3$. By similar argument with Theorem 3.2, if $|A| > p$ for all $A \in \mathcal{M}_{\mathcal{A}}$, then we have $\sum_{A \in \mathcal{A}} \binom{2p}{|A|} \leq 2p \binom{2p}{p+1} + p \binom{2p}{p+2}$. If $|A| \leq p$ for any $A \in \mathcal{M}_{\mathcal{A}}$, by Lemma 2.1, we have $|\mathcal{M}_{\mathcal{A}}| \leq p$ and $\sum_{A \in \mathcal{A}} \binom{2p}{|A|} \leq 2p \binom{2p}{p} \leq 2p \binom{2p}{p+1} + p \binom{2p}{p+2}$.

Now we assume that $\mathcal{M}_{\mathcal{A}}$ contain both an element with at most size p and an element with size at least $p+1$. By Lemma 2.5, this implies $|\mathcal{M}_{\mathcal{A}}| \leq 2p-1$ and $|\mathcal{A}| \leq 3p-1$. Let $m = |\mathcal{M}_{\mathcal{A}}|$ and $t = |\{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}} : \deg^-(A) = 1\}|$. Assume C is the set consisting of starting points of elements of \mathcal{A} . Suppose that C is the union of d intervals separated by elements not in C .

Let $\mathcal{A}_1 = \{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}} : |A| \leq p+1\}$ and $m_1 = |\{B \in \mathcal{M}_{\mathcal{A}} : B \subsetneq A, |B| \leq p\}|$. Combining with Lemma 2.1 and $\mathcal{M}_{\mathcal{A}}$ is intersecting, we have

$$p \geq m_1 \geq \sum_{B \in \mathcal{A}_1} \deg^-(B) \geq t_1 + 2(|\mathcal{A}_1| - t_1),$$

where $t_1 = |\{A \in \mathcal{A}_1 : \deg^-(A) = 1\}| \leq t$. This implies $|\mathcal{A}_1| \leq \frac{m_1+t}{2}$.

Let $\mathcal{A}_2 = \{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}} : |A| = p\}$ and $k = |\{B \in \mathcal{M}_{\mathcal{A}} : B \subsetneq A, |B| < p\}|$. Similarly, $m_1 \geq k \geq t_2 + 2(|\mathcal{A}_2| - t_2)$, where $t_2 = |\{A \in \mathcal{A}_2 : \deg^-(A) = 1\}|$. Note that $t_2 \leq |\mathcal{A}_2|$. This implies $|\mathcal{A}_2| \leq k$. We have

$$\begin{aligned} \sum_{A \in \mathcal{M}_{\mathcal{A}}} \binom{2p}{|A|} &\leq (m - m_1 + k) \binom{2p}{p+1} + (m_1 - k) \binom{2p}{p}. \\ \sum_{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} \binom{2p}{|A|} &\leq |\mathcal{A}_2| \binom{2p}{p} + (|\mathcal{A}_1| - |\mathcal{A}_2|) \binom{2p}{p+1} + (|\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}| - |\mathcal{A}_1|) \binom{2p}{p+2}. \end{aligned}$$

Therefore,

$$\begin{aligned} \sum_{A \in \mathcal{A}} \binom{2p}{|A|} &= \sum_{A \in \mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}} \binom{2p}{|A|} + \sum_{A \in \mathcal{M}_{\mathcal{A}}} \binom{2p}{|A|} \\ &\leq (m_1 - (k - |\mathcal{A}_2|)) \binom{2p}{p} + (m - m_1 + |\mathcal{A}_1| + (k - |\mathcal{A}_2|)) \binom{2p}{p+1} + (|\mathcal{A} \setminus \mathcal{M}_{\mathcal{A}}| - |\mathcal{A}_1|) \binom{2p}{p+2} \end{aligned}$$

$$\begin{aligned}
&\leq m_1 \binom{2p}{p} + (m - m_1 + |\mathcal{A}_1|) \binom{2p}{p+1} + (|\mathcal{A} \setminus \mathcal{M}_A| - |\mathcal{A}_1|) \binom{2p}{p+2} \\
&\leq p \binom{2p}{p} + \frac{1}{2} (2m + t - p) \binom{2p}{p+1} + \frac{1}{2} (m - p) \binom{2p}{p+2} \\
&\leq p \binom{2p}{p} + \frac{1}{2} (p + m + d) \binom{2p}{p+1} + \frac{1}{2} (m - p) \binom{2p}{p+2} \\
&\leq p \binom{2p}{p} + \frac{3}{2} p \binom{2p}{p+1} + \frac{1}{2} (p - d) \binom{2p}{p+2} \\
&\leq p \binom{2p}{p} + \frac{3}{2} p \binom{2p}{p+1} + \frac{1}{2} (p - 1) \binom{2p}{p+2}.
\end{aligned}$$

The second inequality holds because $\mathcal{A}_2 \leq k$ and the coefficient of $k - |\mathcal{A}_2|$ is $\binom{2p}{p+1} - \binom{2p}{p}$ which is less than 0. The third inequality holds because

- $1 \leq m_1 \leq p, |\mathcal{A}_1| \leq \frac{m+t}{2}$;
- By (2.2), $|\mathcal{A} \setminus \mathcal{M}_A| \leq \frac{m+t}{2}$;
- The coefficient of m_1 is $\binom{2p}{p} - \binom{2p}{p+1}$ which is larger than 0;
- The coefficient of $|\mathcal{A}_1|$ is $\binom{2p}{p+1} - \binom{2p}{p+2}$ which is larger than 0;

The fourth inequality holds because of (2.6). The fifth inequality holds because $m \leq n - d$ and the coefficient of m is $\frac{1}{2} \left(\binom{2p}{p+1} - \binom{2p}{p+2} \right)$ which is large than 0. The last inequality holds because $d \geq 1$ and the coefficient of d less than 0.

Since only one of $\frac{3}{2}p$ and $\frac{1}{2}(p-1)$ is an integer, we have $\sum_{A \in \mathcal{A}} \binom{2p}{|A|} \leq p \binom{2p}{p} + \lfloor \frac{3}{2}p \rfloor \binom{2p}{p+1} + \lfloor \frac{1}{2}(p-1) \rfloor \binom{2p}{p+2}$. It is easy to see that $p \binom{2p}{p} + \lfloor \frac{3}{2}p \rfloor \binom{2p}{p+1} + \lfloor \frac{1}{2}(p-1) \rfloor \binom{2p}{p+2} \geq 2p \binom{2p}{p+1} + p \binom{2p}{p+2}$. \square

It is easy to find such \mathcal{A} which reach the upper bound in Theorem 3.3. For example, let \mathcal{A} is union of \mathcal{M}_A and $\mathcal{A} \setminus \mathcal{M}_A$ where

$$\begin{aligned}
\mathcal{M}_A &= \bigcup_{i=1}^p \{\pi_i^p\} \cup \bigcup_{i=p+1}^{2p-1} \{\pi_i^{p+1}\}, \\
\mathcal{A} \setminus \mathcal{M}_A &= \begin{cases} \bigcup_{i=1}^{\frac{p}{2}-1} \{\pi_{2i}^{p+1}\} \cup \bigcup_{i=\frac{p}{2}}^{p-2} \{\pi_{2i+1}^{p+2}\} \cup \{\pi_{2p}^{p+1}\} \cup \{\pi_p^{p+1}\} & \text{if } p \text{ is even.} \\ \bigcup_{i=1}^{\frac{p-3}{2}} \{\pi_{2i}^{p+1}\} \cup \bigcup_{i=\frac{p+1}{2}}^{p-1} \{\pi_{2i}^{p+2}\} \cup \{\pi_{2p}^{p+1}\} \cup \{\pi_p^{p+1}\} & \text{if } p \text{ is odd.} \end{cases}
\end{aligned}$$

So the upper bound of Theorem 3.2 is tight.

References

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